Sleep physiology and pathophysiology of sleep disorders

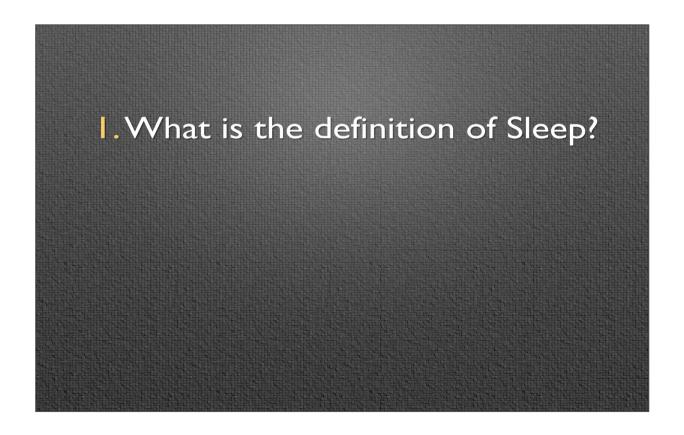


조 양 제

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Sleep Definition I. Behavioral Criteria of Sleep Species-specific posture Behavioral quiescence Reversible upon stimulation Elevated arousal threshold Rebound after deprivation

Sleep Definition

2. EEG Criteria of Sleep

NREM sleep

Vigilance state characterized by a low amplitude, high frequency, Wakefulness

mixed EEG pattern.

Vigilance state characterized by high amplitude, low frequency

oscillations, dominated by the slow/delta and spindle oscillations and a relaxed muscle tone. In animal studies that use the term paradoxical sleep,

NREM sleep is referred to as slow wave sleep.

Vigilance state characterized by an EEG resembling wake or stage-I sleep in humans, in association with muscle atonia. In rodents, REM sleep is REM sleep

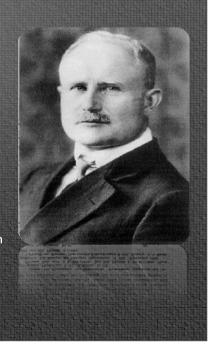
dominated by theta oscillations.

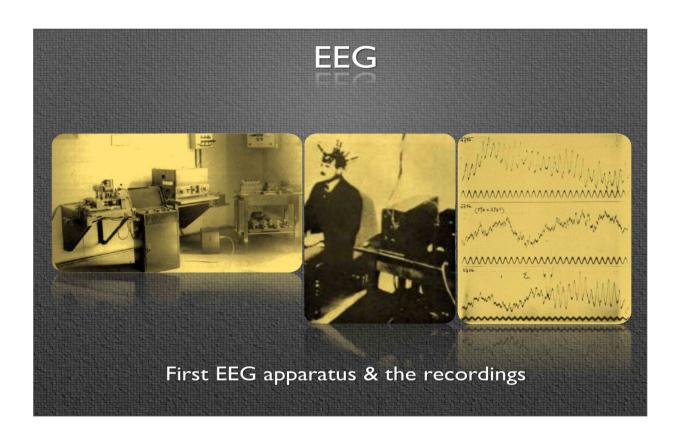
The deepest stages of NREM sleep (stages 3 and 4 in humans) during Slow wave which slow/delta waves are especially prevalent and arousal thresholds sleep (SWS)

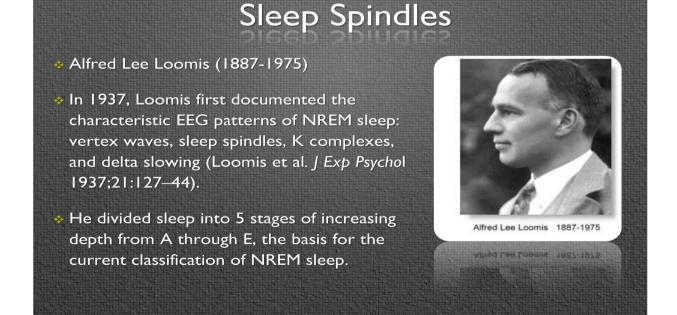
are highest.

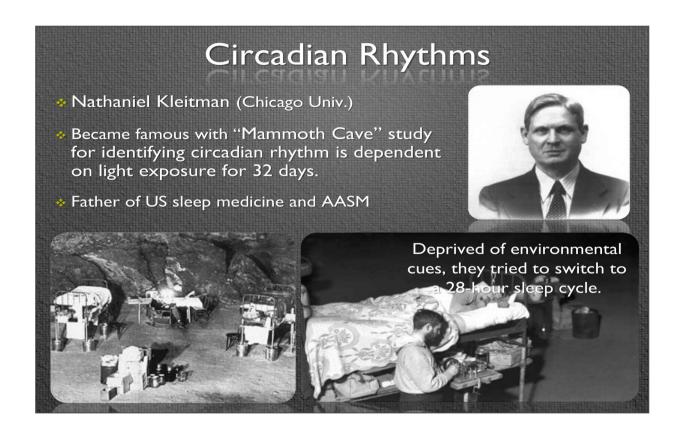
FFG

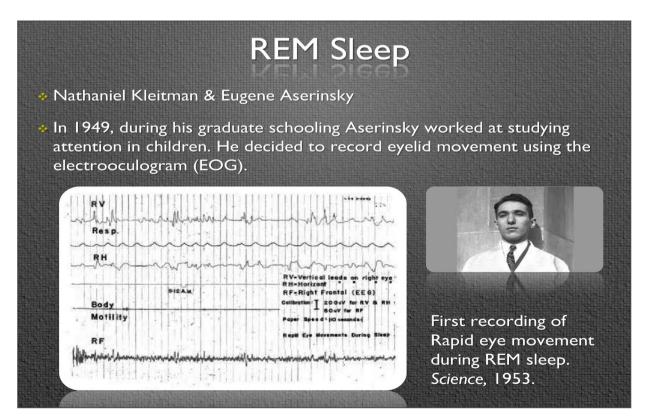
- Hans Berger (1873-1941, University of Jena, Germany)
 - "Father of Electroencephalography"
 - ✓- In 1929, first report about EEG evidence of human brain oscillation
 - ✓- 8~12 Hz spontaneous oscillation, named as alpha (basic) rhythm first, and later beta rhythm (13~30 Hz).
 - "The brain waves changed dramatically if the subject simply shifts from sitting quietly with eyes closed (short or alpha waves) to sitting quietly with eyes opened (long or beta waves). Furthermore, brain waves also changed when the subject sat quietly with eyes closed, "focusing" on solving a math problem (beta waves)."





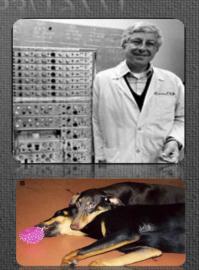






SOREMs/MSLT (1963/1977)

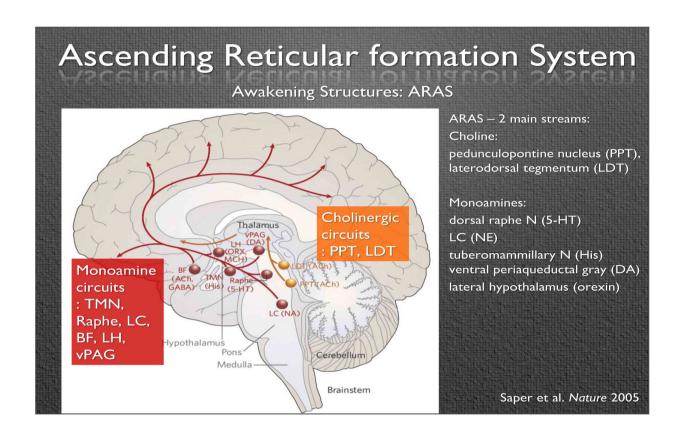
- William Dement, the founder of Stanford sleep laboratory, proposed the measure for the clinical definition of sleep apnea and the rating of its severity "AHI"
- In 1963, Dement and Rechtschaffen, "patients with narcolepsy often went directly into REM sleep during nighttime sleep testing" - SOREMs
- Found canine-narcolepsy in 1973
- Dement and Carskadon developed the multiple sleep latency test (MSLT) in 1977

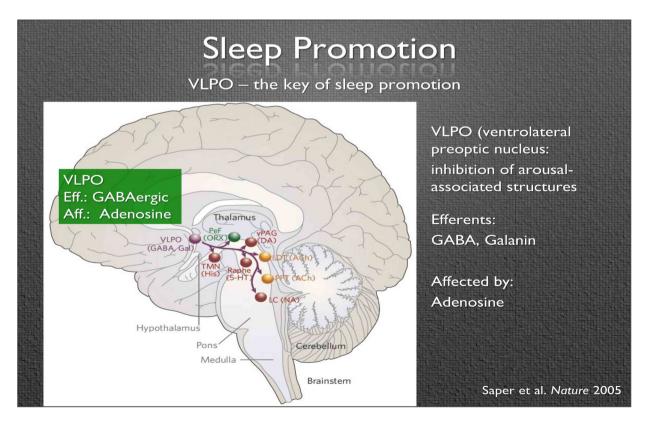


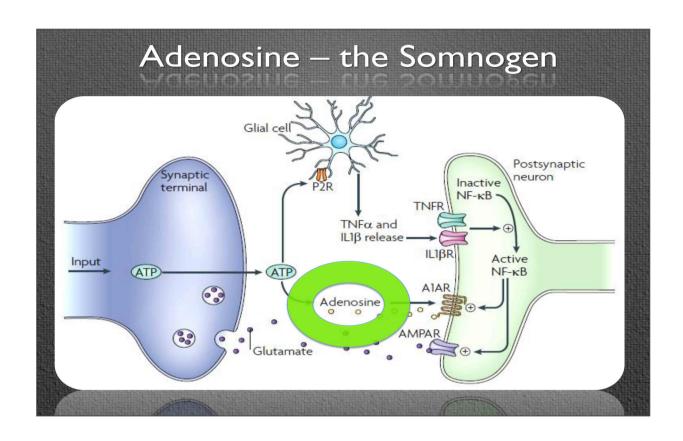
Rechtschaffen A, Wolpert EA, Dement WC Noctural sleep of narcoleptics. Electroencephalogr Clin Neurophysiol. 1963;15:599–609.

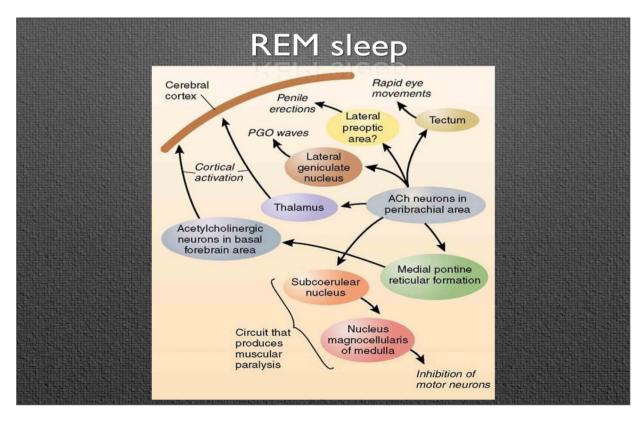
Sleep Cycles

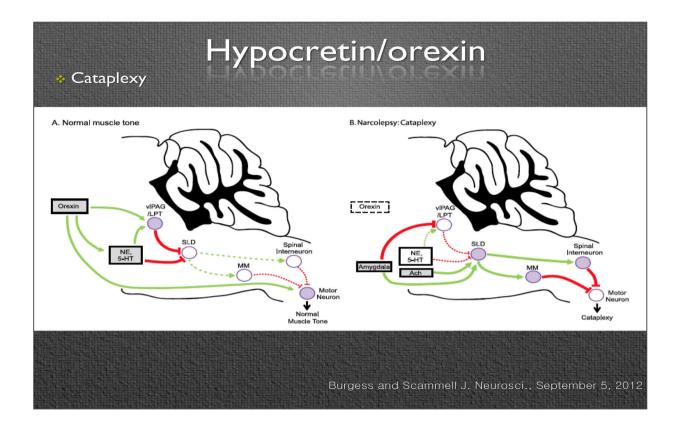
- Stage W (Wakefulness)
- Stage N1 (NREM 1): < 10%</p>
- Stage N2 (NREM 2): ~ 50%
- Stage N3 (NREM 3 & 4, Slow-wave sleep): 5~40%
- Stage R (REM): 20~25%
 - * 4 to 7 cycles per night: NI N2 N3 N2 R
 - * N3 predominates in the first 1/3, and R increases during the last few hours

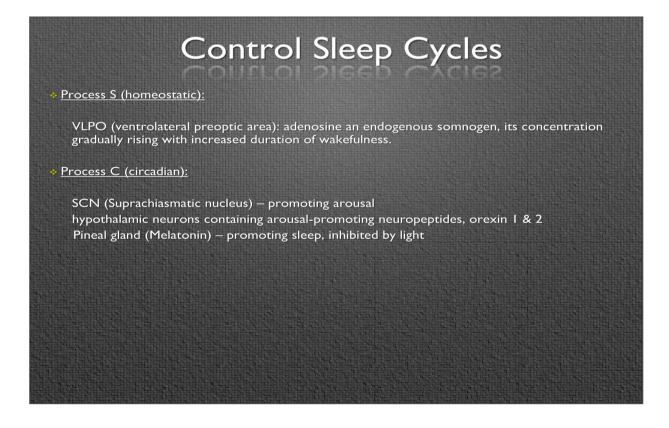


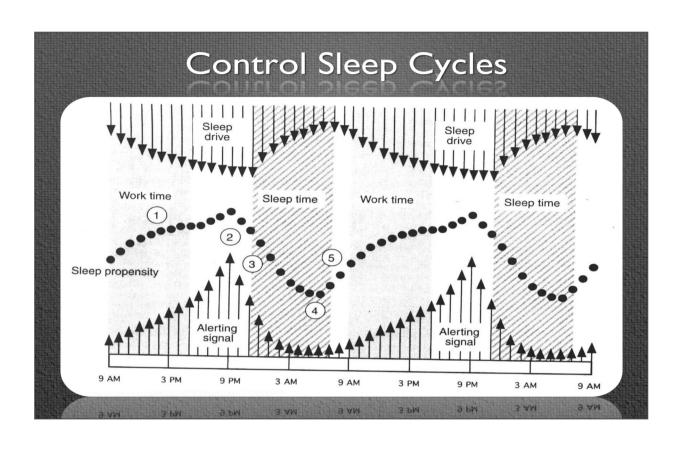


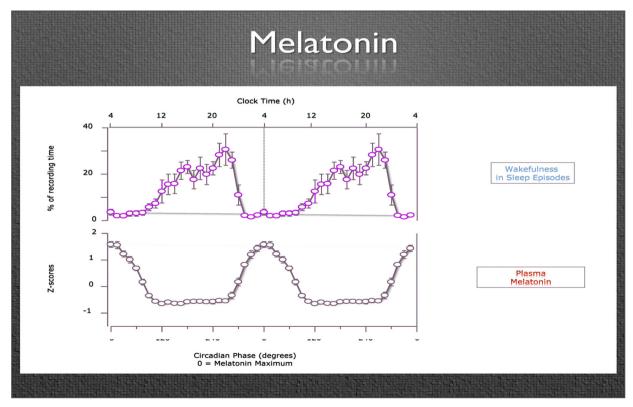


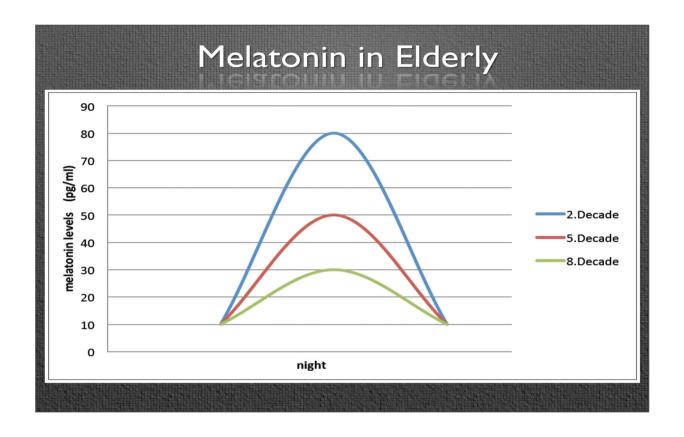










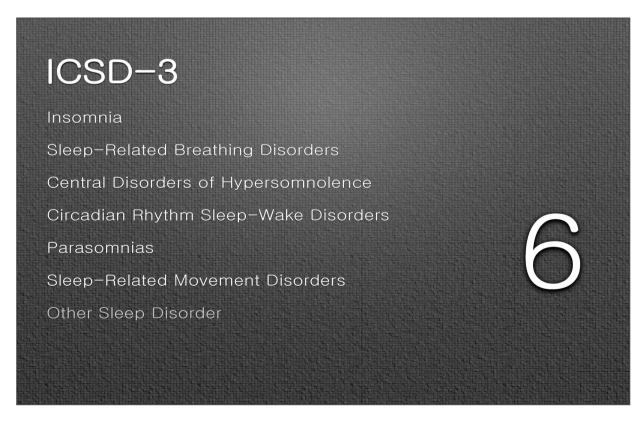


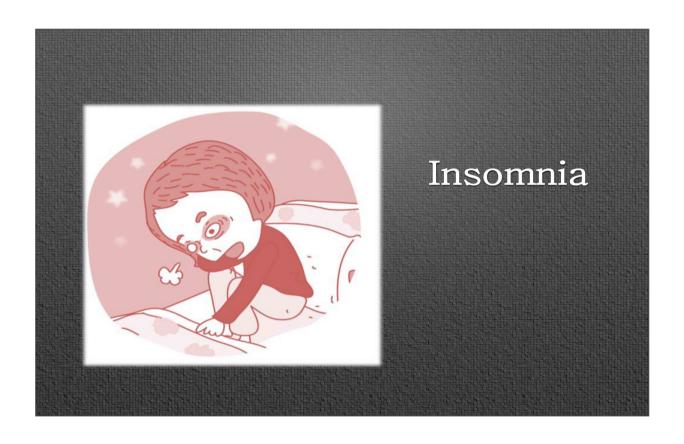


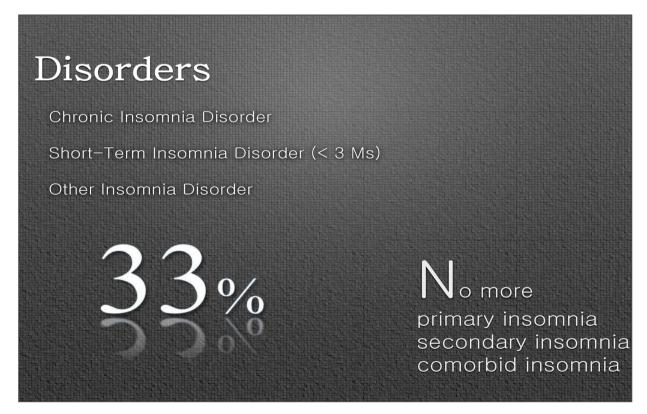












Chronic Insomnia Disorder Subtypes

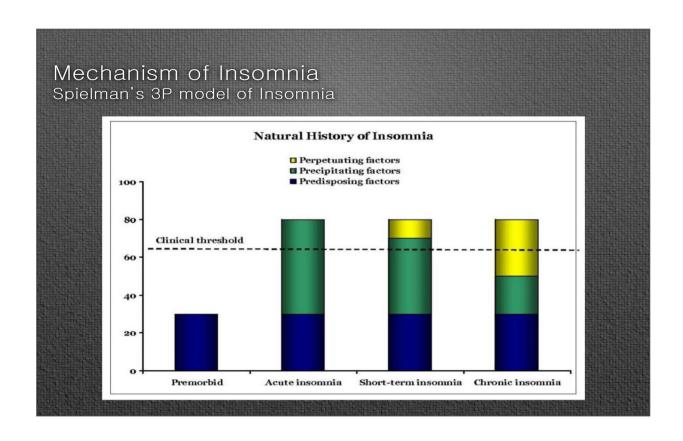
Insomnia due to (another) mental disorder
Insomnia due to (a) medical condition
Insomnia due to drug or substance
Inadequate sleep hygiene
Behavioral insomnia of childhood

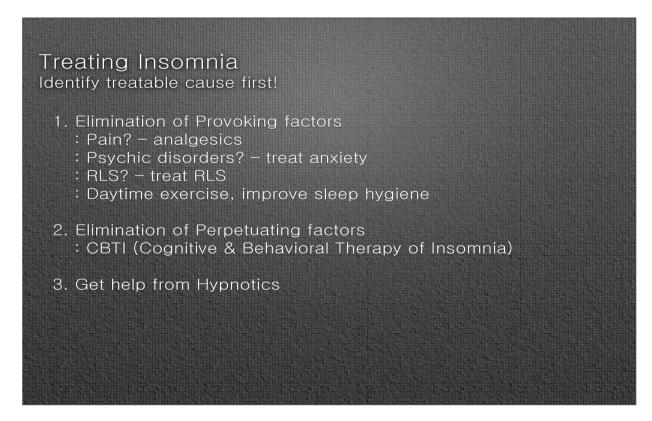
Psychophysiological insomnia Idiopathic insomnia Paradoxical insomnia "sleep state misperception"

Psychophysiologic Insomnia? What is this?

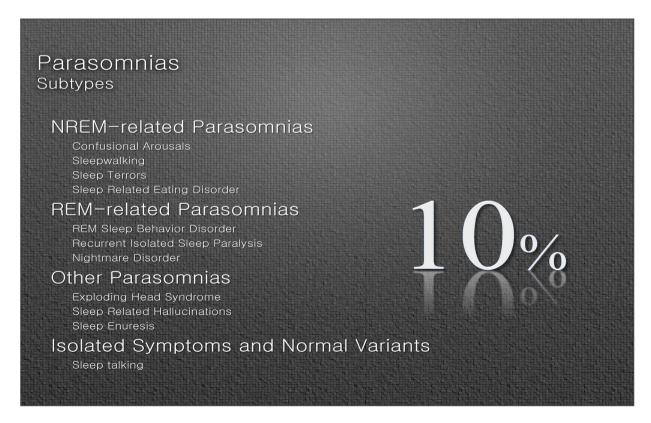
characterized primarily by heightened arousal and learned sleep-preventing associations that result in a complaint of insomnia. Patients presumed to have this type of insomnia often have sleep difficulty when trying to sleep in their usual sleep setting at home but may fall asleep easily in a novel sleep setting or when not trying to sleep. They also demonstrate excessive focus on and worry about sleep and suffer from elevated levels of cognitive and somatic arousal, particularly at bedtime.

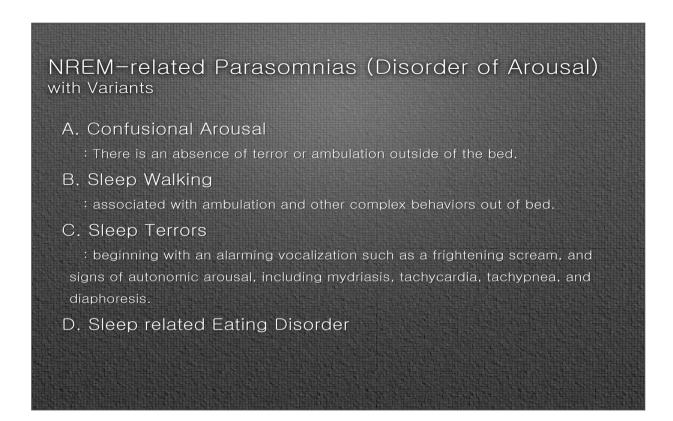
Increased level of anxiety or excessive concerns
Increased level of sympathetic tones













NREM Parasomnia

Table 3 Provoking NREM parasomnias									
	Increase sleep fragmentation	Increased sleep inertia	Both						
Conditions	Noise Pain RLS/PLM	Sleep Deprivation Circadian Misalignment Sedative hypnotic medication	OSA Orexin dysfunction (narcolepsy)						

REM Sleep Behavior Disorder Criteria A-D must be met

- A. Repeated episodes of sleep related vocalization and/or complex motor behaviors.1.2
- B. These behaviors are documented by polysomnography to occur during REM sleep or, based on clinical history of dream enactment, are presumed to occur during REM sleep.
- C. Polysomnographic recording demonstrates REM sleep without atonia (RWA)3
- D. The disturbance is not better explained by another sleep disorder, mental disorder, medication, or substance use.

- Notes

 1. This criterion can be fulfilled by observation of repetitive episodes during a single night of video polysomnography.

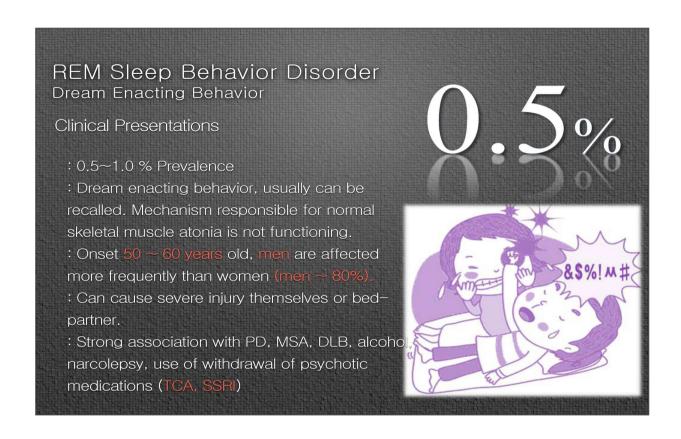
 2. The observed vocalizations or behaviors often correlate with simultaneously occurring dream mentation, leading to the frequent report of "acting out one's dreams."

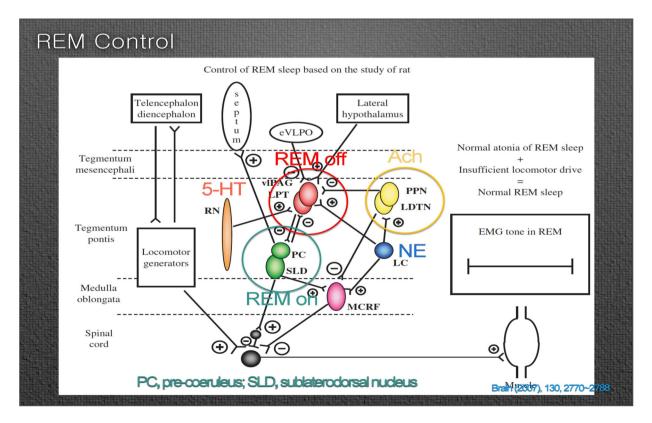
- acting our one's greams.

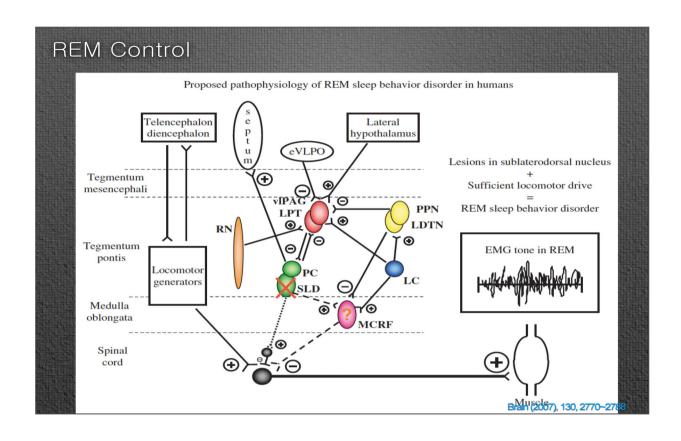
 3. As defined by the guidelines for scoring PSG features of RBD in the most recent version of the American Academy of Sleep Medicine (AASM) Manual for the Scoring of Sleep and Associated Events.

 4. Upon awakening, the individual is typically awake, alert, coherent, and oriented.

 5. On occasion, there may be patients with a typical clinical history of RBD with dream-enacting behaviors, who also exhibit typical RBD behaviors during vPSG, but do not demonstrate sufficient RWA, based on the current evidence-based data, to satisfy the PSG criteria for diagnosing RBD. In such patients, RBD may be provisionally diagnosed, based on clinical judgment. The same rule applies when vPSG is not
- readily available.
 6. Medications may unmask latent RBD with preexisting RWA, according to current expert opinion. Therefore, medication-induced RBD can be diagnosed as RBD, using clinical judgment, pending future longitudinal studies.

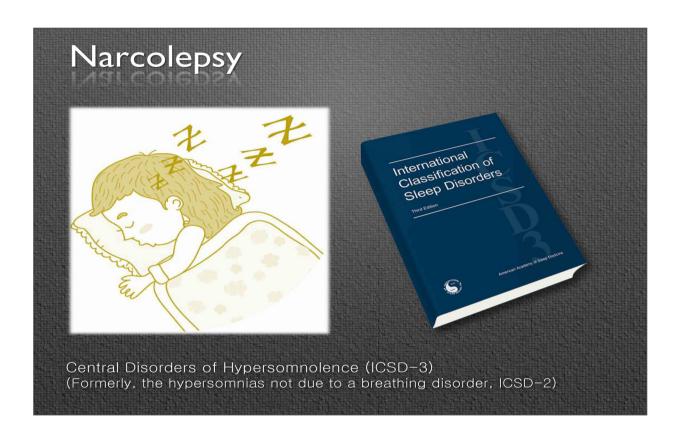


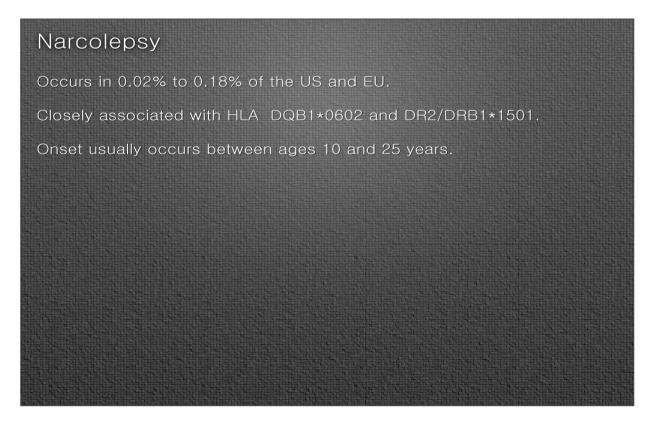




REM Sleep Behavior Disorder Dream Enacting Behavior

- 1. RBD is a sole sleep disorder related with neurodegeneration, not homogenous disease.
- 2. Although clinical history alone is sometimes sufficient for diagnosis, the sensitivity of PSG is much higher due to RWA and patient unawareness.
- 3. Studies from sleep centers (mainly natural history data) indicated 50~90% RBD developed neurodegeneration over 10 years; however there are lack of large, collective studies and population studies.
- 4. In synucleinopathy, most patients have RBD; however, the association with AD is unclear.





Pathophysiology of Narcolepsy? Disorder of Orexin (hypocretin) Deficiency

HLA (1984)

- Juji and Honda found 100% association of HLA-DR2 and DQ1 in Japanese narcoleptics (25% in control)
- The HLA genes, also called major histocompatibility (MHC) genes, are essential contributors to genetic diversity in the immune response, allowing more diverse epitope presentation.
- In 1992, stronger association with HLA-DQB1*06:02 and DQA1*01:02 loci rather than DR2 was found as the best marker for narcolepsy.
- However, the evidence of autoimmune reaction was not found, and no association of Mendelian inheritance was found (1% penetrance, 30% in monozygotic twin).

Juji T, Satake M, Honda Y, Doi Y HLA antigens in Japanese patients with narcolepsy. All the patients were DR2 positive Tissue Antigens. 1984;24(5):316–9

Hypocretin/Orexin (1998)

- Almost simultaneously identified by De Lecea & Sakurai, independently.
- De Lecca et al. coined the name "hypocretin" because the peptide producing neurons are located in the dorsal and lateral hypothalamus, and the peptides shared similar structure of gut hormone "secretin".
- Sakurai et al. termed "Orexin" because the Greek word 'orexis' means 'appetite' after their finding that these peptides stimulate food consumption.





De Lecea et al. The hypocretins: hypothalamus-specific peptides with neuroexcitatory activity. Proc Natl Acad Sci USA. 1998:95:322-7. Sakurai T et al. Orexins and orexin receptors: a family of hypothalamic neuropeptides and G protein-coupled receptors that regulate feeding behavior. Cell. 1998:92:573-95

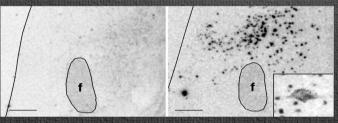
Connection with narcolepsy (1999)

- * Dense projections to monoaminergic cell groups such as the locus coeruleus, the raphe, and tuberomammilary nuclei, suggested a possible involvement in sleep regulation.
- Canine narcolepsy related with hypocretin 2 gene mutation, and orexin KO mice showed narcoleptic behavior.

Lin L. et al. The sleep disorder canine narcolepsy is caused by a mutation in the hypocretin (orexin) receptor 2 gene. Cell. 1999:98(3):365-76.
Chemelli RM, et al. Narcolepsy in orexin knockout mice: molecular genetics of sleep regulation. Cell. 1999:98(4):437-51.

Human narcolepsy (2000)

- In 2000, Peyre found almost loss of hypocretin-secreting neuron in human narcoleptics, but failed to find loss of hypocretin gene.
- Cell loss was very specific, melanin-concentrating hormone (MCH) neurons, which are intermixed with hypocretin cells in the normal brain, were not affected. Increased histaminergic neuron was found (remodeling of wake-promoting system?).



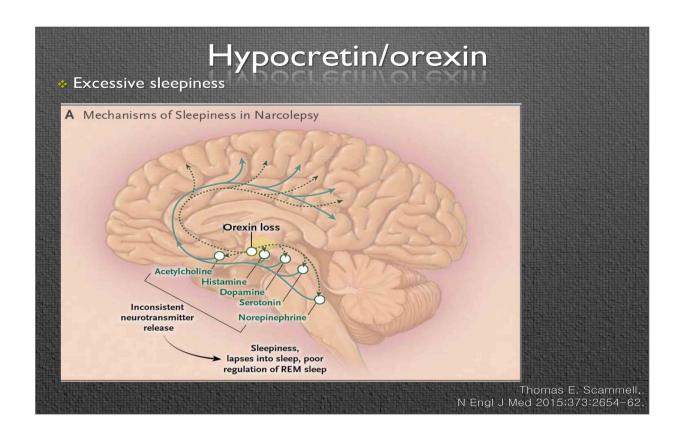
Peyron C, et al. A mutation in a case of early onset narcolepsy and a generalized absence of hypocretin peptides in human narcoleptic brains. Nat Med. 2000:6(9):991-7.

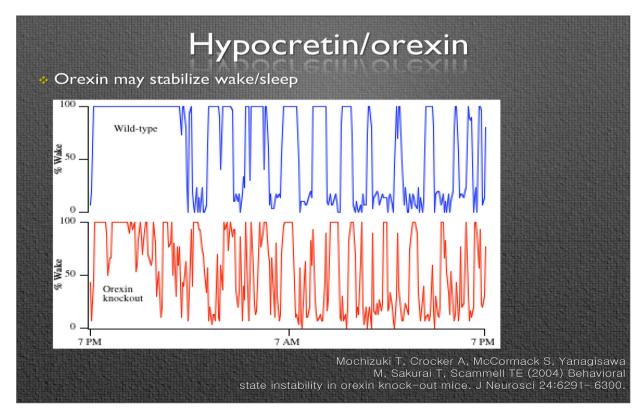
Human narcolepsy (2000)

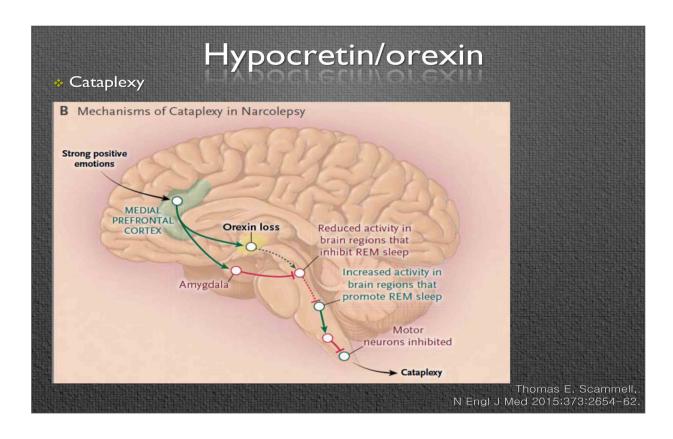
In 2000, Nishino et al. found 7 out of 9 narcolepsy patients had undetectable hypocretin 1 levels in their CSF

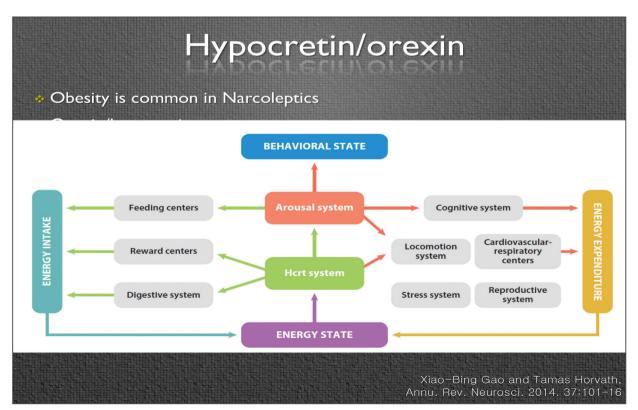
Subjects	Age (yrs)	Sex	MSLT		Cataplexy	Duration of	Current pharmacological	Hypocretin-1
			SL (min)	SOREMP		illness (yrs)	treatment (daily dose)	(pg/ mL)
Patients							_	
1	27	M	1.0*	3*	+	9	GHB 5-6 g/methylphenidate 5-10 mg	<40
2	34	M	0.9	5	+	4	untreated for 2.5 months	<40
3	39	F	2.0*	2*	+	1	Clomipramine 10 mg	<40
4	45	F	3.0	2	+	14	Methylphenidate 30 mg	255
5	50	M	6.3*	3*	+	19	Clomipramine 30 mg/GHB 3·0 g	638
6	50	M	1.2	3	+	32	GHB 5·4 mg/modafinil 400 mg	<40
7	53	F	1.2	1	+	19	GHB 4·0 g	<40
В	69	F	2.8	2	+	38	Clomipramine 10 mg/modafinil 200 mg	<40
9	70	M	2.1	2	+	53	untreated for 20 years	<40
Controls								
1	22	M	na	na	-	na	-	285
2	23	F	na	na	-	na	_	285
3	33	M	na	na	-	na	-	250
1	45	M	na	na	-	na	-	280
5	45	F	na	na	-	na	-	280
6	46	F	na	na	-	na	-	285
7	48	F	na	na	-	na	-	280
8	61	F	na	na	-	na	_	285

Nishino S, Ripley B, Overeem S, Lammers GJ, Mignot E. Hypocretin (orexin) deficiency in human narcolepsy. Lancet. 2000:355(9197):39-40.



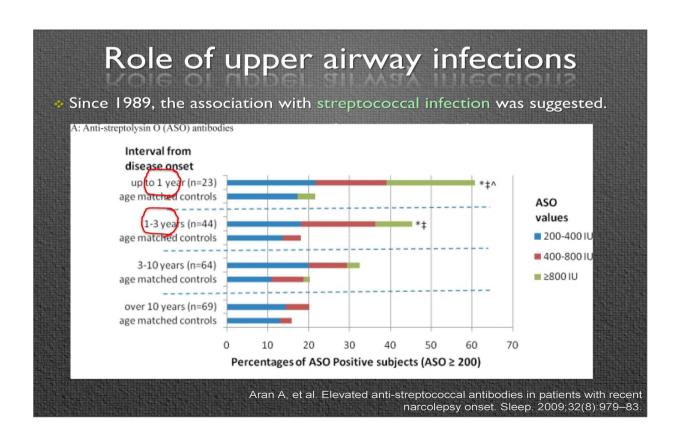






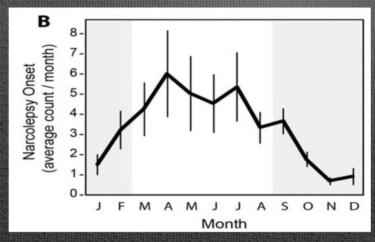
Why orexin neurons are damaged?

- HLA-DQB1*06:02 is found in ~90% of the patients with narcolepsy, and relative risk is 200 fold
- GWA studies showed association with T-cell receptor loci (TCR)
 - Many researchers speculate the association between HLA antigen and autoimmunity
- However, autoantibodies targeting hypocretin peptides were not found.
 - : No anti-hypocretin/orexin Ab
- Immunostaining of hypothalamic tissue with human narcolepsy sera did not reveal autoantibodies
- Passive transfer of experiments of human sera to animal failed to show selective orexin neuronal death.



China cohort studies

Narcolepsy onset was about 6 times more frequent in late spring versus early winter: narcolepsy were triggered by winter URI?



Han F, et al. Narcolepsy onset is seasonal and increased following the 2009 H1N1 pandemic in China. Ann Neurol. 2011;70(3):410–7.

Pandemrix and 2009 HINI influenza

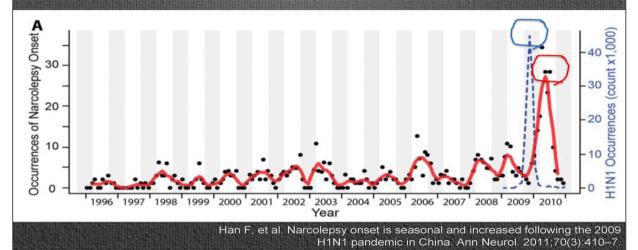
- In 2009, swine influenza HINI spread rapidly in humans with fatality rate of 0.4 %.
- In Spring of 2010, 3–5 times increase in the number of children with narcolepsy when compared to prior years, a peak that appeared 4–6 months after the peak of HINI infections
- In both Finland and Sweden, cases of childhood onset narcolepsy were reported a few months following vaccination with a particular pHINI vaccine called Pandemrix, documenting a tenfold increased risk

Nohynek H, et al. AS03 adjuvanted AH1N1 vaccine associated with an abrupt increase in the incidence of childhood narcolepsy,in Finland. PLoS ONE. 2012;7(3):e33536.

Szakacs A, Darin N, Hallbook T. Increased childhood incidence of narcolepsy in western Sweden after H1N1 influenza vaccination. Neurology. 2013;80(14):1315–21.

China cohort studies

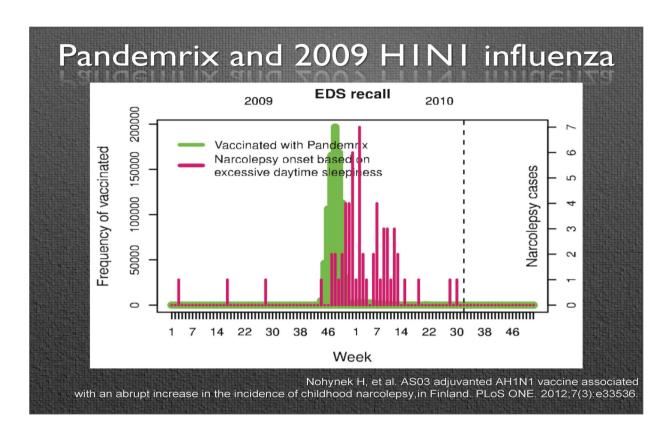
Narcolepsy onset occurrences over the past 15 years in 629 patients with narcolepsy/hypocretin deficiency diagnosed at the People's Hospital, Beijing University, China.

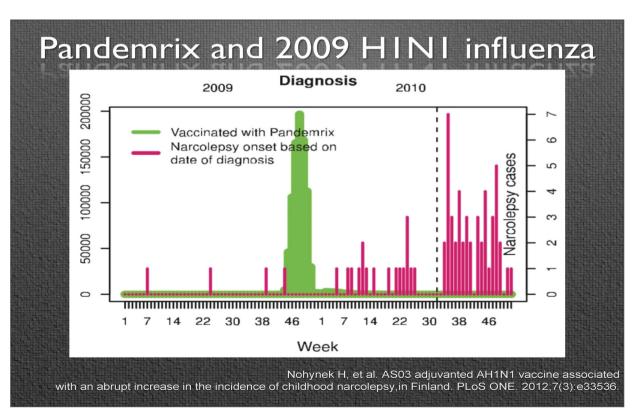


Pandemrix and 2009 HINI influenza

- In Finland, a Retrospective cohort study showed
 - : Of the 67 confirmed cases of narcolepsy, 46 vaccinated
 - : 9.0 in the vaccinated as compared to 0.7/100,000 person years in the unvaccinated
 - : 1:16,000 vaccinated 4 to 19-year-olds

Nohynek H, et al. AS03 adjuvanted AH1N1 vaccine associated with an abrupt increase in the incidence of childhood narcolepsy,in Finland. PLoS ONE. 2012;7(3):e33536.



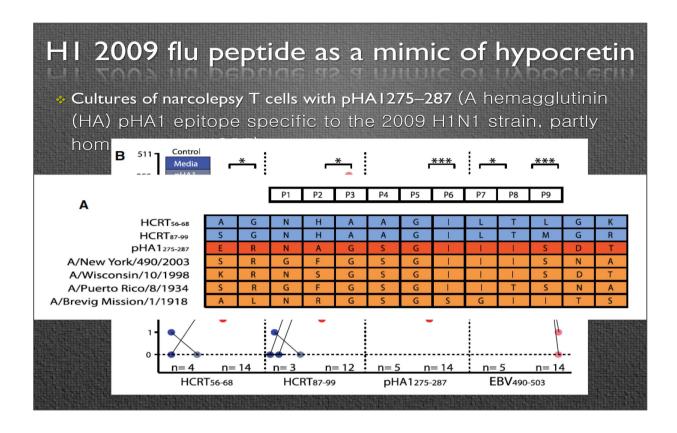


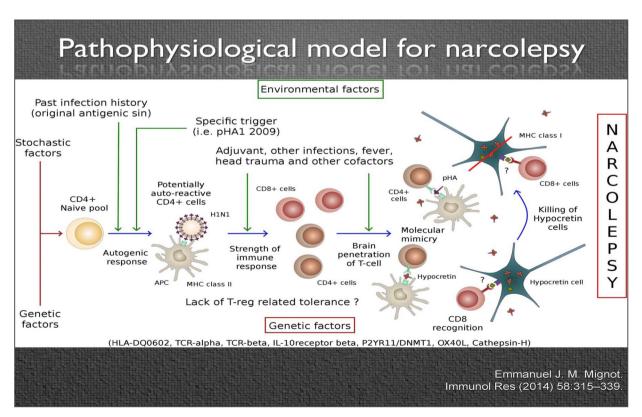
Why Pandemrix?

- Manufactured in Dresden by Glaxosmithkline (GSK)
 - ❖Use purified HA(hemagglutinin) antigen (of HINI) with specific adjuvant (AS03A), potent at stimulating CD4 T-cell responses and it is clear that vaccine efficacy was high
 - * HA itself is lower in Pandemrix
- Focetria, a Novartis vaccine, uses a MF59 adjuvanted and more pure
 HI preparation no report yet.
- Arepandrix by same GSK, used different HA isolation technique reported to have 1.5- to 3-fold risk of narcolepsy in Canada : Same ASO3A adjuvant, why different? purification problem?

Why Pandemrix?

The full ECDC-VAESCO report confirmed the association between pandemic influenza vaccination and narcolepsy and EMA recommend H1N1-AS03-P immunization should not be administered to children and adolescents younger than 20 year

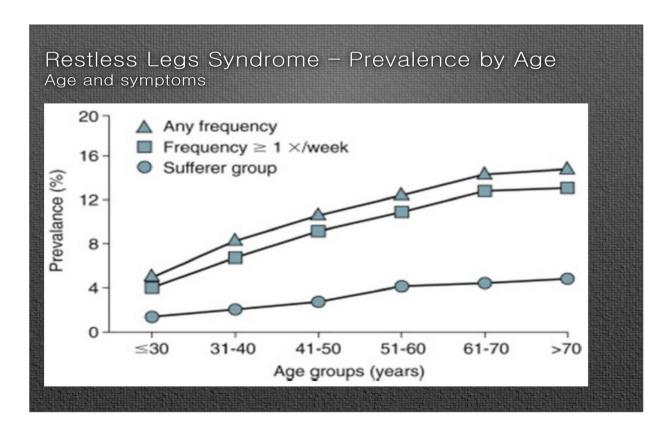


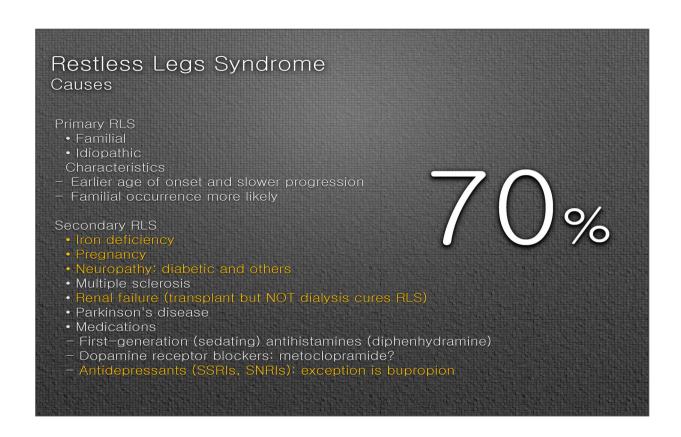


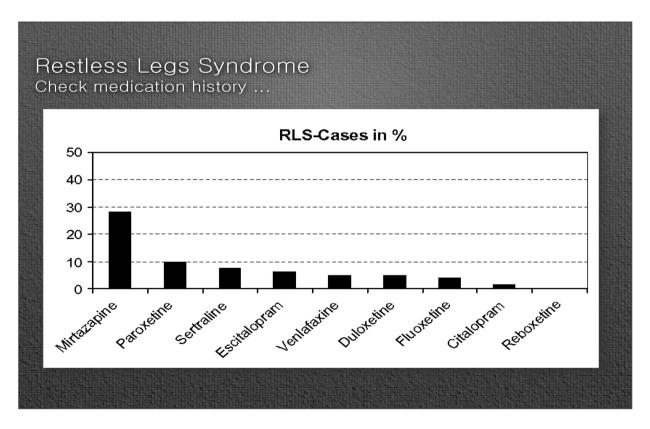


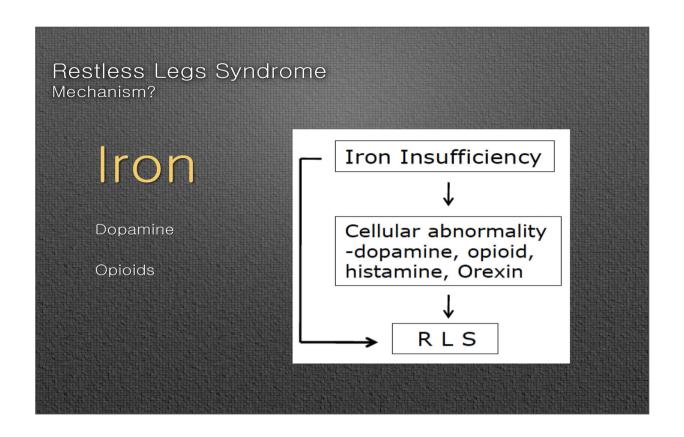
Sleep Related Movement Disorders Subtypes Restless Legs Syndrome Periodic Limb Movement Disorder Sleep Related Leg Cramps Sleep Related Bruxism Sleep Related Rhythmic Movement Disorder Benign Sleep Myoclonus of Infancy Propriospinal Myoclonus at Sleep Onset Sleep Related Movement Disorder Due to a Medical Disorder Sleep Related Movement Disorder Due to a Medication or Substance Sleep Related Movement Disorder, Unspecified

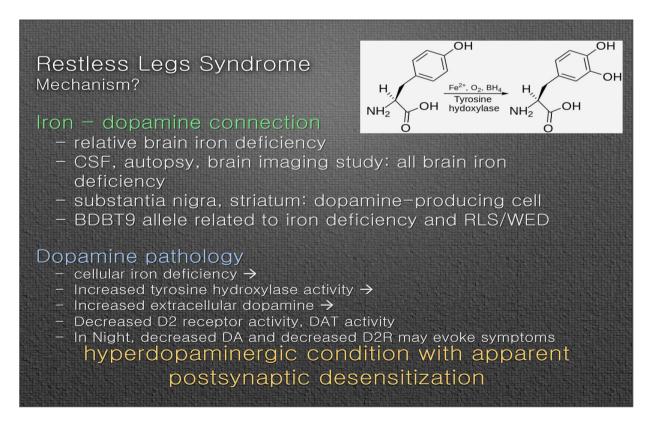
Restless Legs Syndrome Clinical Features The overall prevalence of has been estimated at 5~10% in EU & US population-based studies. (clinically significant RLS to be 2% to 3%?) : In Korea, 0.9 to 12.1% in various studies Women > Men Can begin at any age RLS can be intermittent Variable course - Early onset (< 50 yrs): onset is insidious - Late onset: abrupt and more severe







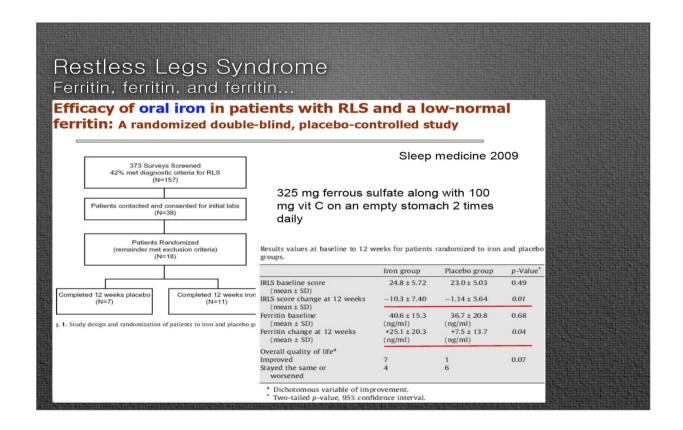




Restless Legs Syndrome Ferritin, ferritin, and ferritin...

Table 2 Relations of serum ferritin level and change in restless legs syndrome severity scale score in patients treated with iron supplements

	Initial Serum Ferritin (μ g/L)				
	≤18	>18-≤45	>45-<100		
Patients (n) Median change in severity score (range)	6 4 (0-8)	4 3 (0-5)	5 1 (0-2)		
Change in severity score ≥2 points (%)	5 (83)	3 (75)	2 (40)		



Restless Legs Syndrome Ferritin, ferritin, and ferritin...

IV iron dextran for severe refractory RLS

Ondo WG, Sleep medicine 2010

- \checkmark 25 subjects (age 53.2 \pm 11.9, 7 male)
 - IV iron for RLS refractory to conventional treatments.
 - 1 g of high molecular weight iron dextran over five hours
 - Baseline ferritins ; $5 \sim 248$ ng/ml (mean 43.5 ± 58.0) 20/25 ; <50 ferritins.
- ✓ Overall, 2 subjects reported complete amelioration of all RLS symptoms, 11 reported marked improvement, 2 moderate improvement, 3 mild improvement, and 6 reported no improvement.
- ✓ The duration of effect was highly variable
 - : mean 15.8 \pm 17.7 weeks, (range 1–60 weeks).
- ✓ <u>Iron dextran can dramatically improve refractory RLS</u> but results are inconsistent and not predicted by patient demographics. Although burdened by a higher rate of anaphylactic reactions, iron dextran may be superior to other IV iron preparations.

Thank You for Your Attention!